

QIANQIAN YU

Office: +1 (610)758-2919; Email: qiy617@lehigh.edu
Perella Department of Finance, Lehigh University
621 Taylor Street, Bethlehem, PA 18015

RESEARCH INTEREST

Corporate Finance, Entrepreneurial Finance, Venture Capital, and Financial Intermediation.

EMPLOYMENT

Lehigh University, Perella Department of Finance 2017–present
Assistant Professor of Finance

EDUCATION

Boston College, Carroll School of Management 2012–2017
Ph.D. in Finance

University of British Columbia, Sauder School of Business 2010–2012
M.Sc. in Finance

University of British Columbia, Department of Economics 2009–2010
M.A. in Economics

Beijing Foreign Studies University, International Business School 2005–2009
B.A. in Economics (Major: Finance; Minor: English), with distinction

PUBLICATIONS & ACCEPTED PAPERS

1. Corporate Patenting, Customer Capital, and Financial Market Outcomes, joint with Mine Ertugrul, Karthik Krishnan, and Bo Xu. **Journal of Financial and Quantitative Analysis**, forthcoming.
2. Top Management Human Capital, Inventor Mobility, and Corporate Innovation, joint with Thomas Chemmanur, Lei Kong, and Karthik Krishnan. **Journal of Financial and Quantitative Analysis**, 54(6), 2383–2422, December 2019.
Semifinalist for the Financial Management Association Best Paper Award, 2015
3. How Does Greater Bank Competition Affect Borrower Screening? Evidence from a Natural Experiment Based on China's WTO Entry, joint with Thomas Chemmanur, Jiaqi Qin, Yan Sun, and Xiang Zheng. **Journal of Corporate Finance**, 65, 101776, December 2020.
4. Recent Developments in the FinTech Industry, joint with Thomas Chemmanur, Michael Imerman, and Harshit Rajaiya. **Journal of Financial Management, Markets and Institutions**, 8(1), 2040002, 2020.
5. Information Production by Institutions and Information Extraction by Underwriters in Hybrid IPO Auctions, joint with Thomas Chemmanur, Pengfei Ma, and Chaopeng Wu. **Review of Corporate Finance**, forthcoming.

WORKING PAPERS

1. Do Venture Capital-Driven Top Management Changes Enhance Corporate Innovation in Private Firms?
Winner of the Kauffman Dissertation Fellowship (\$20,000 grant to support dissertations on entrepreneurship), 2016
2. Strategic Regulatory Non-Disclosure: The Case of the Missing Form D, joint with Kathleen Hanley.
3. CEO Political Ideology, Firm Governance, and Innovative Behavior, joint with Andreea Kiss and Andrew Ward.
Best Paper of the Academy of Management Annual Meeting (Technology and Innovation Management Division), 2022

4. Venture Capital Backing, Investor Attention, and Initial Public Offerings, joint with Thomas Chemmanur and Karthik Krishnan.
Semifinalist for the Financial Management Association Best Paper Award, 2018
5. Human Capital, Management Quality, and Firm Performance, joint with Thomas Chemmanur, Lei Kong, and Karthik Krishnan.
6. Trademarks and Entrepreneurial Firm Success: Theory and Evidence from Private Firm Exits and Initial Public Offerings, joint with Onur Bayar, Thomas Chemmanur, Harshit Rajaiya, and Xuan Tian.
Semifinalist for the Financial Management Association Best Paper Award, 2019
7. Environmental Regulation, State Ownership, and Corporate Green Investment: Evidence from China's 2015 Environmental Protection Law Revisions, joint with Thomas Chemmanur, Bo Cheng, and Zi-Tian Wang.
8. The Entrepreneurial Finance of Fintech Firms and the Effect of Fintech Investments on the Performance of Corporate Investors, joint with Thomas Chemmanur, Michael Imerman, and Harshit Rajaiya.
Winner of the Kauffman Knowledge Challenge: Insight to Impact (one of 32 grants nationwide from the inaugural Kauffman Foundation Knowledge Challenge)

WORK IN PROGRESS

1. Human Capital in LBOs.
Stage: Data collection.

CONFERENCE PROCEEDINGS

1. CEO Political Ideology, Firm Governance, and Innovative Behavior, joint with Andreea Kiss and Andrew Ward, **Academy of Management Proceedings** 2022 (1), 11737.

CONFERENCE PRESENTATIONS

“Do Venture Capital-Driven Top Management Changes Enhance Corporate Innovation in Private Firms?”

- 5th Entrepreneurial Finance Conference, 2021
- Financial Management Association Annual Meeting, 2020
- Northern Finance Association Annual Meeting, Halifax, Canada, 2017
- American Finance Association Annual Meeting Poster Session, Chicago, 2017
- Kauffman Emerging Scholars Conference, Kansas City, 2016
- Kauffman Entrepreneurship Mentoring Workshop, San Francisco, 2016
- Invited Seminars: Lehigh University, University of Toronto, Northeastern University, University of Nebraska Lincoln, Washington State University, University of Massachusetts Lowell, BI Norwegian Business School, Monash University, University of Sydney, Rowan University, Sungkyunkwan University, Moody's Analytics, Analysis Group.

“Strategic Regulatory Non-Disclosure: The Case of the Missing Form D”, joint with Kathleen Hanley.

- Bretton Woods Accounting and Finance Ski Conference*, 2023 (scheduled)
- Conference on Financial Economics and Accounting*, 2022
- Philly Five Conference*, 2021

“CEO Political Ideology, Firm Governance, and Innovative Behavior”, joint with Andreea Kiss and Andrew Ward.

- Academy of Management Annual Meeting*, 2022
- Strategic Management Society Conference*, 2022

“The Entrepreneurial Finance of Fintech Firms and the Effect of Fintech Investments on the Performance of Corporate Investors”

- Financial Management Association Annual Meetings*, 2022
- India Finance Conference*, 2022
- Kauffman Foundation Knowledge Challenge Showcase, 2021

“Venture Capital Backing, Investor Attention, and Initial Public Offerings”

- Midwest Finance Association Annual Meeting, Chicago, 2019
- Financial Management Association Annual Meeting, San Diego, 2018
- Northern Finance Association Annual Meeting, Mont-Tremblant, Canada, 2016
- NTU Finance Conference*, Singapore, 2017

“Human Capital, Management Quality, and Firm Performance”

- Western Finance Association Annual Meeting*, Monterey Bay, 2014
- Northern Finance Association Annual Meeting*, Halifax, Canada, 2017
- Financial Management Association Annual Meeting*, Nashville, 2014
- NBER Productivity Lunch Seminar, Cambridge*, 2014

“Trademarks and Entrepreneurial Firm Success: Theory and Evidence from Private Firm Exits and Initial Public Offerings”

- ASSA Annual Meeting*, 2022
- India Finance Conference*, 2021
- Northern Finance Association Annual Meeting*, 2021
- Eastern Finance Association Annual Meeting*, 2021
- Paris December Finance Meeting, 2020
- Boca Corporate Finance and Governance Conference, 2020
- Financial Intermediation Research Society (FIRS) Conference, 2020 (cancelled due to Covid)
- China International Conference in Finance (CICF)*, Guangzhou, China, 2019
- American Finance Association Annual Meeting Poster Session, Atlanta, 2019
- Financial Management Association Annual Meeting*, New Orleans, 2019
- Midwest Finance Association Annual Meeting*, Chicago, 2019
- The KWC Conference on Entrepreneurial Finance*, Lund, Sweden, 2019
- Philly Five Conference, Philadelphia, 2018

“Environmental Regulation, State Ownership, and Corporate Green Investment: Evidence from China’s 2015 Environmental Protection Law Revisions”

- Financial Management Association Annual Meeting, 2021
- Southern Finance Association Annual Meeting (Top Paper Session), 2021
- Eastern Finance Association Annual Meeting, 2021

“Corporate Patenting, Customer Capital, and Financial Market Outcomes”

- American Marketing Association Summer Academic Conference, 2022
- INFORMS Marketing Science Conference, 2021
- Southern Finance Association Annual Meeting, 2021
- Financial Management Association Annual Meeting*, 2017
- Eastern Finance Association Annual Meeting*, 2018

“Top Management Human Capital, Inventor Mobility, and Corporate Innovation”

- Asian Development Bank Institute (ADBI) Finance and Innovation Conference, Tokyo, Japan, 2016
- American Finance Association Annual Meeting*, San Francisco, 2016
- Financial Management Association Annual Meeting*, Orlando, 2015
- Entrepreneurial Finance and Innovation around the World Conference*, Beijing, China, 2015

“How Does Greater Bank Competition Affect Borrower Screening? Evidence from a Natural Experiment Based on China’s WTO Entry”

- Financial Management Association Annual Meeting*, San Diego, 2018

“Information Production by Institutions and Information Extraction by Underwriters in Hybrid IPO Auctions”

- 2nd Boca Corporate Finance and Governance Conference, 2021
- Western Finance Association Annual Meeting*, Coronado, 2018
- Financial Management Association Annual Meeting*, San Diego, 2018

(* presented by a coauthor)

HONORS & AWARDS

Winner of the Kauffman Knowledge Challenge: Insight to Impact, Kauffman Foundation	2018
Winner of the Kauffman Dissertation Fellowship, Kauffman Foundation	2016
Semifinalist for the Financial Management Association Best Paper in Corporate Finance	2015, 2018, 2019
Sloan Research Grant, Lehigh University	2021
AFA Student Travel Grant, American Finance Association	2016
Graduate Student Conference and Research Funding Grant, Boston College	2016, 2017
NBER Entrepreneurship Research Boot Camp, NBER/Kauffman Foundation	2015
Doctoral Fellowship, Boston College, Carroll School of Management	2012–2017
Graduate Assistantship, University of British Columbia	2009–2012
International Tuition Scholarship, University of British Columbia	2009–2012

TEACHING EXPERIENCE

Assistant Professor at Lehigh University	
<ul style="list-style-type: none"> Corporate Financial Policy (Undergraduate) Fall 2017–2019, Spring 2021–Spring 2022, Fall 2022 Recent 3-year teaching evaluations: 4.79/5, 4.61/5, 4.86/5 Financial Management (Graduate) Spring 2022 Teaching evaluation: 4.6/5 	
Teaching Assistant at Boston College	
<ul style="list-style-type: none"> Entrepreneurial Finance and Venture Capital (MBA/MSF) 2015–2016 Ph.D. Seminar in Corporate Finance Theory (Ph.D.) Spring 2017 Advanced Corporate Finance Theory (MBA/MSF) Spring 2017 Financial Management (MBA/MSF) Fall 2016 Basic Finance (Undergraduate) Fall 2016 	
Teaching Assistant at the University of British Columbia	
<ul style="list-style-type: none"> Investments (MBA) 2011–2012 Business Finance (Undergraduate) 2010–2012 International Markets and Institutions (Undergraduate) 2010–2011 Principles of Macroeconomics (Undergraduate) 2009–2010 	

UNIVERSITY SERVICE

Faculty Advisor of Lehigh Asian Business Community, 2022–present
 Faculty Advisor of Finance Club, 2021–2022
 Faculty Facilitator of Student to Professional Program, 2021–2022
 Search Committee Member for Professor of Practice, 2018, 2021, 2022
 Search Committee Member for Tenure-track Assistant Professor, 2018
 Faculty Mentor for Finance Major Undergraduate Students, 2018–present
 Tauck Scholars Program Committee, 2017–2021
 Faculty Advisor for Kappa Delta Sorority, 2019–present
 Ambassador for Prospective Students on Candidates' Day, 2019
 Ad-hoc Committee Member for Finance Major Curriculum, 2019, 2020
 Ambassador for Prospective Students at College of Business Community, 2020

PROFESSIONAL SERVICE**Conference Discussant:**

- 2nd Sustainable Finance Forum, 2021
- 5th Entrepreneurial Finance Conference, 2021
- Eastern Finance Association Annual Meeting, 2021
- Paris December 2020 Finance Meeting, 2020
- Boca Corporate Finance and Governance Conference, 2020
- Midwest Finance Association Annual Meeting, Chicago, 2019
- Financial Management Association Annual Meeting, San Diego, 2018

- Financial Management Association Annual Meeting, Boston, 2017
- Asian Development Bank Institute (ADBI) Finance and Innovation Conference, Tokyo, Japan, 2016

Journal Referee:

- Journal of Financial and Quantitative Analysis
- Management Science
- Review of Finance
- Journal of Banking and Finance
- Journal of Corporate Finance
- Journal of Business Research
- Journal of Business Finance and Accounting
- Finance Research Letters
- North American Journal of Economics and Finance
- International Review of Finance
- International Journal of Financial Studies
- Asia-Pacific Journal of Financial Studies
- Pacific-Basin Finance Journal

Conference Session Chair:

- INFORMS Marketing Science Conference, 2021
- 5th Entrepreneurial Finance Conference, 2021
- Eastern Finance Association Annual Meeting, 2021
- Financial Management Association Annual Meeting, 2017, 2020, 2021
- Philly Five Conference, Philadelphia, 2017, 2019

Conference Program Review Committee:

- Financial Management Association Annual Meeting, 2020–2022
- Seventh Annual Conference on Financial Market Regulation, 2020
- Eastern Finance Association Annual Meeting, 2020
- Fifth Annual Conference on Financial Market Regulation, 2018

MEDIA COVERAGE

- “Recent Developments in the FinTech Industry,” Machine Lawyering Blog of Centre for Financial Regulation and Economic Development at the Chinese University of Hong Kong, May 29, 2020
- “Trademarks: Worth the Cost?,” Lehigh Business, October 23, 2019
- “Trademarks in Entrepreneurial Finance,” Harvard Law School Forum on Corporate Governance and Financial Regulation, October 24, 2018
- “Trademarks in Entrepreneurial Finance,” Tuck Forum on Private Equity and Venture Capital, September 9, 2018
- “The Link between SME Financial Performance and Trademark Rights Revealed,” World Trademark Review, June 19, 2018
- “How Venture Capital Improves the Market Value of Firms that Go Public,” Columbia Law School (CLS) Blue Sky Blog, November 17, 2016

PROFESSIONAL AFFILIATIONS

American Finance Association
Western Finance Association
Financial Management Association
Northern Finance Association