

## Advisory Board

The CFS Advisory Board provides expertise to strategically guide the mission and direction of the Center. In addition, the Advisory Board offers guidance to the Director on speakers, topics, and sponsors for conferences, events, and panel discussions. They assist with expanding the network of participants and building the CFS stature and brand. The Advisory Board serves as a key resource for activities encompassing continuing education, student engagement, and networking.

## Advisory Board Members

Hugo Barth	<i>Principal and Senior Portfolio Manager, Prudential Financial</i>
Doug Borkowski	<i>Managing Director, Bank of America Merrill Lynch</i>
Seth Finkel	<i>Managing Director, Neuberger Berman Wealth Management</i>
Larry Gore	<i>Senior Vice President, Wilmington Trust</i>
Brodi Jackson	<i>Co-Founder and Managing Partner, Caerus Ventures</i>
Sandi Klose	<i>Head of Market Development, GTM Americas</i>
Labib Mahfouz	<i>President and Chief Operating Officer, Axonic Capital</i>
John Melvin	<i>Managing Director &amp; Head of Portfolio Management, HIMCO</i>
Chris Milner	<i>President and CEO, The Oakleaf Group</i>
Matt Petrozelli	<i>Executive Vice President &amp; Chief Operating Officer, Valley National Group</i>
Jeff Rutledge	<i>Principal, Portfolio Manager, Bessemer Trust</i>
Julian Sluyters	<i>Global Chief Operating Officer, PineBridge Investments</i>
Rick Van Benschoten	<i>CFP &amp; Managing Partner, Lenox Advisors</i>

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visit [cbe.lehigh.edu/cfs](http://cbe.lehigh.edu/cfs) for more information



LEHIGH  
UNIVERSITY

COLLEGE OF  
BUSINESS AND  
ECONOMICS

CENTER FOR FINANCIAL SERVICES



## The Center for Financial Services (CFS) seeks to to promote a collaborative exchange of ideas on critical issues affecting the financial services by bridging theory and practice.

By leveraging Lehigh's faculty and alumni, the CFS aims to bring the latest developments in research and practice together to stimulate discussion and generate new ideas for education and knowledge in the field of financial services.

The Center hosts speakers and conferences to bring together alumni, industry participants, academics, and regulators on topics of interest. In addition, the CFS topics features academic research on topics that are relevant to practitioners, regulators, and industry leaders.

In support of this mission, the CFS oversees the Financial Services Laboratory (FSL), which serves as the vehicle for understanding, creating, and employing financial data and software on the Lehigh University campus.

### past events

#### FOURTH ANNUAL CONFERENCE ON FINANCIAL MARKET REGULATION AT THE SEC MAY 4 – 5, 2017

The SEC, CFA Institute, CFS, and CFP at the Robert H. Smith School of Business jointly hosted the fourth annual conference on the regulation of financial markets and brought together participants from academia, industry, government agencies, and the SEC for an exchange of views on topics of relevance to the Commission.

#### IEX CEO, BRAD KATSUYAMA, SPEAKS AT LEHIGH IN BAKER HALL MARCH 21, 2017

Katsuyama, the subject of Michael Lewis' best seller *Flash Boys: A Wall Street Revolt*, discovered that high-frequency traders using computer algorithms could take advantage of their speed and proximity to stock exchanges to front run his trades; he brought his "David among Goliaths" story to Lehigh, detailing his efforts to restore balance and trust to the market by starting his own stock exchange, IEX.

#### FINANCIAL SERVICES REFORM PANEL DISCUSSION AT THOMSON REUTERS FEBRUARY 16, 2017

CFS hosted a panel discussion of financial experts in New York City who explored the timely topic of "Unintended Consequences of Financial Services Reform"; it was sponsored by Thomson Reuters, The Lehigh Wall Street Council, and the CFS Advisory Board.

#### FIRST ANNUAL RESEARCH SYMPOSIUM AT DREXEL'S LEBOW COLLEGE NOVEMBER 18, 2016

The research symposium was co-sponsored by Drexel University, Lehigh University, Temple University, and the University of Delaware; it featured four completed research papers presented seminar style and six mini presentations of "early ideas" with preliminary findings.

### Director

Kathleen Weiss Hanley joined Lehigh University's College of Business and Economics (CBE) faculty in 2015 as the Bolton-Perella Endowed Chair in Finance and as the Director of the CFS. Before coming to Lehigh, Hanley was the Deputy Chief Economist of the SEC and the Deputy Director of the Division of Economic and Risk Analysis, where she oversaw the integration of economic analysis into policy. She also managed the Division's research activities, data analytics, and risk assessment initiatives.

Prior to that time, she was a Senior Economist at the Board of Governors of the Federal Reserve System in the Risk Analysis section and a Senior Financial Economist at the SEC. She has been on the faculty at the University of Maryland and at the University of Michigan.

Hanley's research focuses on capital formation, systemic risk and market pricing and has been published in leading finance journals including *The Journal of Finance*, *Journal of Financial Economics*, and *The Review of Financial Studies*. She received her bachelor's degree from Indiana University and her Ph.D. from the University of Florida.

### Assistant Director

Meg Foley Wolf joined the CFS in February 2017. Wolf's background is in development, sales, marketing and non-profit. She joined her alma mater in 2008, where she has served in several key roles in Lehigh University's Development and Alumni Relations department including Associate Director of Marketing, Director of Reunion Giving, and CBE Major Gift Officer. Wolf has been an integral part of the development of the Center and is responsible for attracting the very talented CFS Board. She assists with CFS events, memberships, marketing, and advancing the mission and goals of the Center. Wolf has dual degrees from Lehigh in Journalism and Marketing.

